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Date of Deposit

Jasper W. Dockrey, Reg. No. 33,868

Name of applicant, assignee or Registered Representative

Signature

July 24, 2002

Date of Signature

Case No. 9281-4241

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masayoshi Nakagawa et al.

Serial No.: 10/043,739

Filing Date: January 9, 2002

For: MAGNETIC HEAD ACTUATOR
HAVING FINELY MOVABLE
TRACKING DEVICE

)
) Examiner: J. Dolan

)
) Group Art Unit: 2652

)
) Attention: Manager,
Application Branch

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Application Processing Division
Customer Correction Branch

Dear Sir:

Applicants request the issuance of a corrected filing receipt (copy of filing receipt enclosed) for the above-referenced patent application, and in support of this request respectfully state:

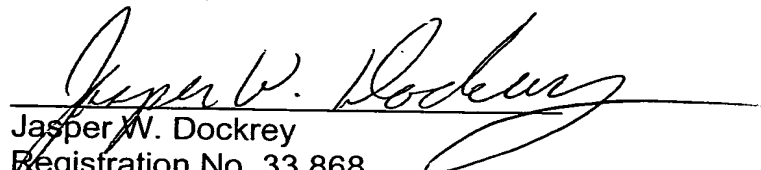
The name of the third inventor is inaccurately listed and should be corrected as follows:

-- Hideaki Abe, Niigata-ken, Japan --

The Commissioner is hereby authorized to charge any fees required to
Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: July 24, 2002


Jasper W. Dockrey
Registration No. 33,868
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
POST OFFICE BOX 10395
CHICAGO, ILLINOIS 60610
312/321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/043,739	01/09/2002	2652	740	9281-4241	10	14	2

CONFIRMATION NO. 6542

FILING RECEIPT



OC000000007473857

Brinks Hofer Gilson & Lione
P.O. Box 10395
Chicago, IL 60610

Date Mailed: 02/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masayoshi Nakagawa, Niigata-ken, JAPAN;
Tohru Nakazawa, Niigata-ken, JAPAN;
Hideki Abe, Niigata-ken, JAPAN;
Koji Nagao, Niigata-ken, JAPAN;

Assignment For Published Patent Application

Alps Electric Co., Ltd.;

Domestic Priority data as claimed by applicant

Foreign Applications

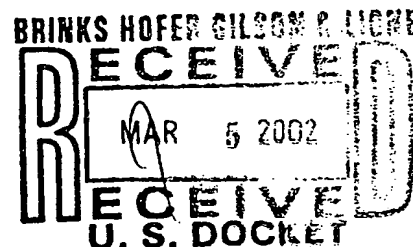
JAPAN 2001-003882 01/11/2001

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: 07/11/2002

Non-Publication Request: No

Early Publication Request: No



Title

Magnetic head actuator having finely movable tracking device

Preliminary Class

360

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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TO DOCKET CLERK:

CHECKED:

9281/4241

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DIARY/COMPUTER

NOTICE OF MAILING TO PATENT OFFICE

WORKING CARD

APPLICANT:

CLIENT/MATTER NO.:

ITEM(S) MAILED:

DATE DUE:

DATE OF MAILING:

Applicants: NAKAGAWA et al.

Client Matter No.: 9281/4241

Items Mailed: Transmittal Letter (in duplicate); Response Under 37 C.F.R. § 1.111; Request for Correction of Filing Receipt (in duplicate); and copy of Filing Receipt.

Date Due: July 24, 2002

Date of Mailing: July 24, 2002

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MEMO TO
SECY
INTL

ATTORNEY/SECRETARY

Case No. 9281/4241
Applicant

Dirr Case No. 9281/4241 U.S. Application Serial No. 10/043,739
Wa Applicants: NAKAGAWA et al. Date of Mailing: July 24, 2002
Ple Commissioner for Patents
Washington, D.C. 20231

Please acknowledge receipt of the below-identified:

Items Mailed: Transmittal Letter (in duplicate); Response Under 37 C.F.R. § 1.111; Request for Correction of Filing Receipt (in duplicate); and copy of Filing Receipt.

BRINKS HOFER GILSON & LIONE, Jasper W. Dockrey, Reg. No. 33,868
Date Due: July 24, 2002

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TRANSMITTAL LETTER			Case No. 9281/4241
Serial No. 10/043,739	Filing Date January 9, 2002	Examiner J.M. Dolan	Group Art Unit 2652
Inventors MASAYOSHI NAKAGAWA, TOHRU NAKAZAWA, HIDEAKI ABE, KOJI NAGAO			
Title of Invention MAGNETIC HEAD ACTUATOR HAVING FINELY MOVABLE TRACKING DEVICE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Response Under 37 C.F.R. § 1.111; Request for Correction of Filing Receipt; copy of Filing Receipt; and


- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	14	Minus	14	0
Indep.	2	Minus	2	0
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity	
Rate	Add'l Fee	Rate	Add'l Fee
x \$9 =		x \$18 =	
x 42 =		x \$84 =	
+ \$140 =		+ \$280 =	
Total add'l fee	\$	Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 Jasper W. Dockrey
 Registration No. 33,868
 Attorney for Applicants

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 24, 2002.

Date: July 24, 2002

Signature: 

rev. Dec.-00

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